

| | | | | | |
|---|--|---|---------------------------------------|--|--|
| FORM PTO-1390 (REV. 11-2000) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER 2599 US0P | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5 10/030332 | |
| INTERNATIONAL APPLICATION NO. PCT/JP00/02765 | | INTERNATIONAL FILING DATE 27APR2000 | | PRIORITY DATE CLAIMED 28APR1999 | |
| TITLE OF INVENTION Cyclic Amide Compounds, Their Production and Use | | | | | |
| APPLICANT(S) FOR DO/EO/US ISHIHARA, Yuji; IMAMURA, Shinichi; HASHIGUCHI, Shohei; NISHIMURA, Osamu; KANZAKI, Naoyuki; BABA, Masanori | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | |
| <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. Copies References (3 references) 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Copy of Forms 101, 210, 301, 304, 308, 332 Copy of first page of published application Itemized Return Postcard | | | | | |
| | | | Express Mail Label No. EL 792689115US | | |
| | | | Date of Deposit October 26, 2001 | | |

| | | | | |
|--|---|--|-------------------|------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/030332 | INTERNATIONAL APPLICATION NO. PCT/JP00/02765 | ATTORNEY'S DOCKET NUMBER 2599US0P | | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: | | CALCULATIONS PTO USE ONLY | | |
| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): | | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. | | \$1000.00 | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO | | \$890.00 | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | | \$710.00 | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | | \$690.00 | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | | \$100.00 | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | \$ 890.00 | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$ | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ |
| Total claims | 39 - 20 = | 19 | x \$18.00 | \$ 342.00 |
| Independent claims | 4 - 3 = | 1 | x \$84.00 | \$ 84.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$270.00 | \$ |
| TOTAL OF ABOVE CALCULATIONS = | | | \$ 1316.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | + | \$ |
| SUBTOTAL = | | | \$ | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | \$ | |
| TOTAL NATIONAL FEE = | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | + | \$ |
| TOTAL FEES ENCLOSED = | | | \$ 1316.00 | |
| | | Amount to be refunded: | \$ | |
| | | charged: | \$ | |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 500799 in the amount of \$ 1316.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 500799. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> | | | | |
| <p>SEND ALL CORRESPONDENCE TO:</p> <p>Mark Chao, PhD, JD</p> <p>Takeda Pharmaceuticals North America, Inc.</p> <p>Suite 500, 475 Half Day Road</p> <p>Lincolnshire, IL 60069 USA</p> <p>(847)383-3372 fax (847)383-348</p> | | | | |
| <p></p> <p>SIGNATURE Mark Chao, PhD, JD 10/26/01</p> <p>NAME 37,293</p> <p>REGISTRATION NUMBER</p> <p>For Customer No. 23,115</p> | | | | |

10/030332
JC10 PCT/PTO 26 Oct 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: tba
Filed: tba
1st Inventor: Yuji ISHIHARA
For: Cyclic Amide Compounds, Their Production and Use
Atty. Dkt. No. 2599 US0P

Art Unit: tba
Examiner: tba
Allowed:
Batch:
Paper No.:

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

USPS EXPRESS MAIL LABEL No. EL 792689115US

DATE IN: October 26, 2001

Itemized Papers/Items:

1. This certificate and a Return Receipt Postcard (2 pages)
2. Transmittal Letter for Filing under 35 USC 371 (2 pages X 2)
3. Copy of English translation of PCT Request (6 pages)
4. English translation of the International Application as filed in Japanese [This includes Specification total 118 pages, including Claims 1-39 (9 pages), Abstract (1 page)]
5. Copy of Forms PCT/IB/301, 308 and 332 (5 pages)
6. Copy of the front page of the International Application as published (WO 00/61127) (1 pg.)
7. Copy of International Preliminary Examination Report (4 pages)
8. Information Disclosure Statement (1 page) with Form PTO 1449 (1 page), and copies of IDS citations (9), and copy of the International Search Report (2 pages)

The undersigned hereby certifies that the above itemized papers are together being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service (USPS) in an envelope with sufficient postage, having the USPS Express Mail Label No. shown above, and addressed to:

BOX PCT
Commissioner for Patents
Washington, D.C. 20231.

on this date, 10/26/01.

Dated: 10/26/01


Gail L. Winokur